

# Melatonin for Sleep in Children with Autism: A Controlled Trial Examining Dose, Tolerability, and Outcomes

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**Abstract** Supplemental melatonin has shown promise in treating sleep onset insomnia in children with autism spectrum disorders (ASD). Twenty-four children, free of psychotropic medications, completed an open-label dose-escalation study to assess dose–response, tolerability, safety, feasibility of collecting actigraphy data, and ability of outcome measures to detect change during a 14-week intervention. Supplemental melatonin improved sleep latency, as measured by actigraphy, in most children at 1 or 3 mg dosages. It was effective in week 1 of treatment, maintained effects over several months, was well tolerated and safe, and showed improvement in sleep, behavior, and parenting stress. Our findings contribute to the growing

literature on supplemental melatonin for insomnia in ASD and inform planning for a large randomized trial in this population.

**Keywords** Melatonin · Insomnia · Actigraphy · Clinical trial · Children’s sleep habits questionnaire · Child behavior checklist · Autism diagnostic observation schedule

Sleep difficulties, particularly insomnia, occur in 50–80% of children with autism spectrum disorders (Couturier et al. 2005; Krakowiak et al. 2008; Souders et al. 2009; Goldman et al. 2011b) and are often accompanied by child and family distress (reviewed in Richdale and Schreck 2009; and Hollway and Aman 2011). Disordered sleep may exacerbate core and related symptoms of autism including social interactions, repetitive behaviors, affective problems, and inattention/hyperactivity (Schreck et al. 2004; Gabriels et al. 2005; Malow et al. 2006; Goldman et al. 2009, 2011a). Therefore, interventions that target sleep may not only improve child health and child and family distress, but may also ameliorate core and related symptoms of autism.

Supplemental melatonin has a favorable side-effect profile and is inexpensive. Along with other complementary and alternative therapies, it has gained widespread acceptance by parents of children with ASD as an alternative to FDA-approved medications (Harrington et al. 2006). Three recent reviews have been published on the use of melatonin for insomnia in children with ASD (Doyen et al. 2011; Rossignol and Frye 2011; Guénoles et al. 2011). These reviews summarized the limitations of the existing literature, which includes small sample sizes (majority of studies containing 20 subjects or fewer), a mix of ASD

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Dr. Burnette has moved to University of New Mexico subsequent to the time of study.

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with other neurodevelopmental disorders, limited controlled trials, and limited studies using objective outcome measures or examining dose–response or tolerability in a systematic fashion. The reviews concluded that while supplemental melatonin appears safe, well tolerated, and promising in terms of efficacy, its use in ASD is not yet evidence-based.

To address the limitations of prior trials, we carried out a pilot open-label study of supplemental melatonin. The primary objective of the study was to evaluate the possible therapeutic effectiveness of melatonin. If effective, we wanted to also (1) Determine which doses were effective, (2) Assess how quickly effective doses improve sleep, (3) Collect safety and tolerability data in a systematic fashion, (4) Define the feasibility of actigraphy data as an outcome measure, and (5) Assess the ability of questionnaire data to detect change with a 14-week intervention in this population. Our findings presented here will allow for planning of larger randomized multicenter trials of supplemental melatonin for insomnia in ASD.

## Methods

### Participants

This study was approved by our Institutional Review Board. The principal investigator holds an approved FDA Investigational New Drug Application (#76105) to use supplemental melatonin (Natrol<sup>®</sup>) for insomnia in ASD.

From subspecialty clinics, as well as from the community (e.g., local autism society, public schools), using flyers given to potential participants, accompanied by letters and emails to referring practitioners, we recruited children ages 3–10 years with a clinical diagnosis of an autism spectrum disorder (autism, pervasive developmental disorder, not otherwise specified, or Asperger's disorder) whose parents reported sleep onset delay of 30 min or longer on three or more nights per week. A study coordinator was responsible for screening all potential participants to ensure that the above criteria were met, and consulted with the physician investigators as needed. Parents of these children provided informed consent and were enrolled in the protocol to begin study procedures. Children were free of psychotropic medications; allergy medications and medications for constipation were allowed. Parents agreed to avoid changes in current medications or the start of new medications during the time of study participation. Children with fragile X syndrome, Down syndrome, neurofibromatosis, or tuberous sclerosis complex and children who had a non-febrile unprovoked epileptic seizure within the last 2 years were excluded.

After enrollment, all children received:

1. Verification of the clinical diagnosis of ASD using a clinical interview that incorporated DSM–IV-TR criteria (American Psychiatric Association 2000) and the Autism Diagnostic Observation Schedule (ADOS; Lord et al. 2000). A clinical psychologist with expertise in ASD diagnosis and who is research reliable on the ADOS administered these instruments to confirm participants' diagnoses.
2. A medical history, physical and Tanner staging by one of the authors, a pediatrician with expertise in ASD. Because of the effect of puberty on sleep and the unknown effects of melatonin on puberty, only children who were prepubertal continued in the study (excluded Tanner II or higher stage of physical development on medical examination or those with hormonal values for ACTH, cortisol, estrogen, testosterone, FSH, LH, and prolactin that were not consistent with prepubertal status). Children were also evaluated for comorbidities that affect sleep, including gastroesophageal reflux disease and psychiatric disorders. If these comorbidities were clinically determined to affect sleep, they were addressed prior to beginning melatonin. Children were excluded if laboratory values for blood count (CBC with platelets) and metabolic panel, including liver and renal function, were outside of the normal range.
3. A comprehensive sleep history of all children was performed by the principal investigator. Children suspected of having sleep apnea were evaluated with polysomnography prior to enrollment and excluded if sleep apnea was diagnosed.

### Study Design

As illustrated in Fig. 1, a one-week baseline and two-week acclimation phase preceded the administration of supplemental melatonin. During the one-week baseline phase, parents received one hour of structured sleep education by the principal investigator, including establishment of a regular bedtime and wake time. Parents also received education in actigraphy procedures (see below). Children wore actigraphy watches to confirm that sleep latency (time to fall asleep) was at least 30 min on three or more nights in the week. During the two-week acclimation phase, parents gave their children an inert liquid 30 min before bedtime that was flavored similar to supplemental melatonin (compounded by Pharmicare, Mt. Juliet, TN<sup>®</sup>), in order to acclimate the child to taking a liquid medication before bedtime. Children were then given liquid supplemental melatonin (Natrol<sup>®</sup>, Chatsworth CA) 30 min before bedtime according to an optional escalating dose protocol based on three-week periods (Fig. 1). The rationale for this design was to determine the lowest possible dose that was

effective and well tolerated. The child was initially given 1 mg (4 ml) melatonin for 3 weeks. If a satisfactory response occurred, defined as falling asleep within 30 min in five or more nights/week (for at least one of the weeks) as documented by actigraphy, melatonin was continued at its current dose until the end of the 14 week dosing period. If a satisfactory response did not occur at the 1 mg dose, melatonin was increased to 3 mg for 3 weeks. If a satisfactory response did not occur at the 3 mg dose, melatonin was increased to 6 mg for 3 weeks. If a satisfactory response did not occur at the 6 mg dose, melatonin was increased to 9 mg for 3 weeks. In the last 2 weeks of the dosing period, the child remained on the dose at which the satisfactory response occurred.

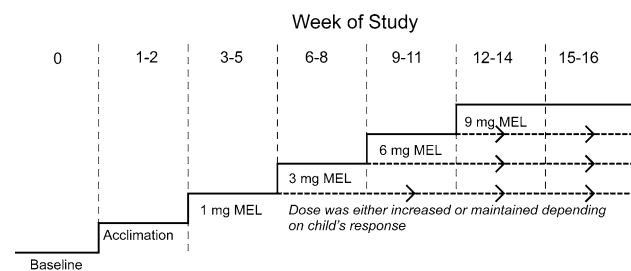
### Monitoring for Adverse Effects

Parents were asked to review the Hague Side Effects Scale (Carpay et al. 1996) each week throughout the 14-week dosing period. They were called at the end of each week by the study coordinator, and during the call, responses on the scale was reviewed with them.

### Outcome Measures

#### Actigraphy

All children wore the AW-64 Actiwatch<sup>®</sup> device (Phillips Respironics, Bend, OR) during the 17-week protocol (1 week of baseline, 2 weeks of acclimation, and 14 weeks of melatonin dosing; Fig. 1). Each device contains an accelerometer, which detects motion and translates it into an electrical signal, stored in memory within the devices as actigraphy counts. The devices were configured using a one-minute epoch with medium threshold and the validated software (Phillips Respironics 2010) algorithm was used to estimate sleep parameters, based on thresholds for wake



**Fig. 1** Optional escalation study design. After the baseline and acclimation (inert liquid) phases, supplemental melatonin was increased if the child did not exhibit a satisfactory response, defined as a sleep latency of 30 min or less on five or more nights in any given week within the 3 weeks dosing period. In the last 2 weeks of the dosing period, the child remained on the dose at which the satisfactory response occurred

and sleep, as described in prior work (Kushida et al. 2001; Lichstein et al. 2006; Mezick et al. 2009).

During the training session, the parent and child were introduced to the actigraphy device for placement on the non-dominant wrist. Parents were given a quiz to test their knowledge of the actigraphy device. The parent completed a daily sleep diary throughout the 17-week protocol to assist in interpretation of actigraphy data and was also asked to use the event marker present on the device to mark lights out (the time that the child first attempted to fall asleep). Children who had difficulty tolerating the device on the wrist were allowed to use an alternate validated method which consisted of placing the device on a non-dominant shoulder location (Souders et al. 2009; Adkins et al. *in press*).

### Parent-Completed Survey Forms

Parents completed a battery of surveys to determine the ability of questionnaires to detect change with intervention. The battery was completed at the beginning of the study and a second time at the conclusion of the study intervention procedures. These survey forms included the Children's Sleep Habits Questionnaire (CSHQ), the Child Behavior Checklist (CBCL), The Repetitive Behavior Scale-Revised (RBS-R), and the Parenting Stress Index Short Form (PSI-SF).

The CSHQ (Owens et al. 2000) was included as a parent-reported measure of sleep to complement the objective measurement obtained by actigraphy. We hypothesized that the CSHQ insomnia-related domains would be more likely to improve with melatonin than the non-insomnia domains (e.g., sleep related breathing, parasomnias). The CSHQ was initially validated in ages 4–10 years (Owens et al. 2000) and subsequently validated in younger ages (Goodlin-Jones et al. 2008). A higher score indicates more difficulty with sleep.

The CBCL (Achenbach and Rescorla 2001a, b) was included as a parent-reported measure of daytime behavior. Because separate CBCL forms spanned the age range of our participants (one for ages 1½–5 years and one for ages 6–18 years), we included scales common to both forms which we believed might improve after improvement in sleep with supplemental melatonin, based on the available literature (Hollway and Aman 2011). These included the syndrome scales of anxious/depressed, withdrawn, attention problems, and aggressive behavior, and the Diagnostic and Statistical Manual (DSM) scales of affective problems, attention-deficit hyperactivity, and oppositional defiant disorder. The CBCL contains modules for ages 2–5 years (Achenbach and Rescorla 2001a) and 6–18 years (Achenbach and Rescorla 2001b). A higher score indicates more difficulty with behavior.

The RBS-R (Bodfish et al. 2000) was included as a parent-reported measure of repetitive behavior and restricted interests that include the following behavioral subscales: stereotyped, self-injurious, compulsive, ritualistic, sameness, and restricted. The RBS-R has been validated in children (Lam and Aman 2007; Mirinda et al. 2010). A higher score indicates more difficulty with behavior.

The PSI-SF (Abidin 1995) was included as a parent-reported measure of parenting stress that yields a total stress score from three scales: Parental Distress, Parent-Child Dysfunctional Interaction, and Difficult Child. It has been validated in children younger than 12 years. A higher score indicates higher levels of parent stress.

Parents were also asked to complete information about their education and occupation to provide estimates of socioeconomic status based on the Hollingshead Four Factor Index of Social Status (Hollingshead 1975).

#### Additional Assessments

The Peabody Picture Vocabulary Test- III (PPVT-III; Dunn 1997) and The Kaufman Brief Intelligence Test- Second

Edition (K-BIT-2; Kaufman and Kaufman 2004) were used to characterize the receptive language skills and verbal and non-verbal cognitive functioning of our sample. Since the KBIT-2 was designed for children 4 years of age and older, it was not used for participants below this age group (Table 1).

#### Data Analysis

Data were analyzed using SAS statistical software (version 9.1, SAS Institute Inc., Cary, NC) and SPSS statistical software (version 19, SPSS Inc., Chicago, IL). Given that this is a pilot study, in Tables 2 and 3, we present means, standard deviations, and uncorrected *p*-values for all parameters analyzed. However, to assist with selecting the most robust measures for a larger controlled trial, we interpreted our data conservatively by controlling family wise error rate for the multiple measures. More specifically, as suggested in Westfall et al. (1999), we defined a family of tests to be all the tests that formed a natural and coherent unit (e.g., all items in a subscale of a questionnaire, or all the sleep parameters), and a more stringent

**Table 1** Participant characteristics

Study ID	Age	Sex	Weight (kg)	SES	PPVT standard score	KBIT verbal score	KBIT Nonverbal score	Melatonin dose (mg) at satisfactory response (SR)
1	4	Male	17.9	55	115	97	73	1
2	8	Male	34	50	119	113	124	3
3	4	Male	19.1	44	81	54	71	3
4	9	Male	51.6	42	82	66	117	3
5	6	Male	25.2	27	95	79	109	3
6	8	Female	24.5	54.5	120	138	126	1
7	7	Male	44.9	54	116	115	85	1
8	9	Male	31.3	66	115	95	90	6
9	9	Male	50.7	21.5	119	90	101	6
10	4	Male	19.1	23.5	89	83	75	3
11	9	Male	23	50	139	111	128	3
12	4	Male	15.5	44	n/a	88	55	1
13	8	Male	36.9	55	84	81	94	1
14	5	Male	17.4	45	n/a	n/a	n/a	3
15	4	Male	17.5	40	n/a	n/a	n/a	3
16	7	Male	24	56	92	99	105	3
17	4	Male	21.3	50	n/a	n/a	n/a	3
18	7	Male	29.6	48	n/a	86	76	3
19	5	Female	16.1	66	94	98	118	3
20	4	Male	21.7	40.5	113	118	107	3
21	4	Male	17.1	59	99	102	112	1
22	3	Female	15.0	16.5	76	n/a	n/a	3
23	6	Female	27.7	42	40	n/a	n/a	1
24	3	Male	14.9	53	n/a	n/a	n/a	6

SES = Socioeconomic status based on Hollingshead four factor index of social status, PPVT = Peabody Picture Vocabulary Test, KBIT = Kaufman Brief Intelligence Test

threshold for  $p$ -values (i.e. 0.05/number of tests in the family) was used to determine statistical significance.

### Actigraphy

For each participant, average sleep parameters were computed at each phase: baseline, acclimation dosing phase, satisfactory dosing phase, and end of study dosing phase. Within group comparison of the sleep parameters at different phases were then analyzed with the Wilcoxon signed-rank test. This nonparametric test was used because the outcomes measured do not necessarily follow the normal distribution. Our major outcome variable was sleep latency. We also examined, as secondary outcome variables, total sleep time and sleep efficiency (total sleep time/time in bed), and wake time after sleep onset. In Table 2, since there were a total of 8 tests conducted for the set of sleep parameters, we considered  $p$ -values of less than 0.05/8 (or 0.006) to be significant.

### Parent-Completed Survey Forms

For each participant, we compared the pre- and post-treatment variables for each scale using the Wilcoxon signed rank test. For the CSHQ (nine comparisons),  $p$ -values of less than 0.0056 were considered significant. Similarly, for the CBCL (seven comparisons), significance level was set at 0.0071, for the RBS (six comparisons), significance level was set at 0.0083, and for the PSI (three comparisons), significance was set at 0.017.

## Results

### Participants

Forty-six participants were enrolled in the study, and 24 completed all study procedures. Of the 22 children who were

enrolled but did not complete the study, 20 were not started on melatonin for the following reasons—Eight children did not have confirmatory diagnoses of ASD, six parents withdrew because the protocol was too time-consuming, two were lost to follow-up, one child improved after parent sleep education, falling asleep within 30 min on most nights (based on actigraphy), one child started medications, one child was Tanner stage 2, and one child had elevated liver enzymes. Two children were started on melatonin but did not complete the study. One child experienced a generalized tonic-clonic seizure. The child had no previous history of seizures, although as part of a separate research protocol, an EEG-polysomnogram done prior to the initiation of melatonin showed evidence for an epileptic seizure and interictal epileptiform activity. Melatonin was discontinued and the child was withdrawn from the study. Our medical safety monitor and Institutional Review Board reviewed this adverse event and determined it was not related to melatonin treatment. A second child, who was subsequently diagnosed with bipolar disorder, was unable to tolerate wrist actigraphy (the alternative actigraphy placement method was not developed at the time of her testing) and did not complete the study. She was given melatonin according to study protocol up to 3 mg, and based on parent diary, the child's sleep did not improve with melatonin treatment. The higher melatonin doses were not given based on the child's lack of improvement with lower doses, and the child's medical status was reevaluated. She was diagnosed with bipolar disorder, begun on risperidone, and both behavioral symptoms and sleep onset insomnia improved with treatment. The parent did not complete post-intervention surveys; however, clinical follow-up at one year indicated maintenance of the improvement in sleep and psychiatric symptoms.

### Actigraphy

All children who completed the study tolerated actigraphy for the entire 17-week monitoring period, with five requiring an alternative placement. There were no

**Table 2** Sleep parameters

	Study phase				$p$ -value*	$p$ -value**
	Baseline	Acclimation	Satisfactory dose Median	End of study		
Sleep latency (minutes)	38.2	42.9	21.6	22.5	<b>&lt;0.0001</b>	0.96
Sleep efficiency (percent)	74.6	75.0	76.5	79.3	0.026	0.16
Wake time after sleep onset (minutes)	57.6	64.9	70.5	68.6	0.022	0.31
Total sleep time (minutes)	442.7	450.1	459.0	457.3	0.011	0.18

\* Comparing satisfactory dosing phase to acclimation dosing. Significance was adjusted for multiple comparisons (0.05/number of comparisons within a family of tests) with values meeting significance bolded

\*\* Comparing end of study dosing phase to satisfactory dosing phase

significant differences in sleep parameters between the baseline and acclimation phase. Table 2 shows the sleep parameters by study phase, and Fig. 1 illustrates the change in sleep latency with melatonin treatment, which decreased significantly with treatment ( $p < 0.0001$ ). The improvement in sleep latency was maintained until the end of the study. Analysis of sleep latency within the 1 and 3 mg dosing periods showed that the satisfactory response was achieved within the first week of treatment (i.e., the second and third week sleep parameters were not significantly different from the first week). Sleep duration, wake time after sleep onset, and sleep efficiency were not significantly different with melatonin treatment.

### Dose–Response

All 24 children who completed study procedures obtained a satisfactory response (as defined above) to melatonin at

doses between 1 mg and 6 mg. Seven children obtained a satisfactory response at 1 mg, 14 at 3 mg, and only 3 required 6 mg. The child's age or weight was not associated with melatonin dose response. The mean age/weight (standard deviation) of children responding to 1 mg was 5.9 (1.9) years/26.4 (11.1) kg; and to 3 or 6 mg was 5.9 (2.3) years/25.4 (11.2) kg.

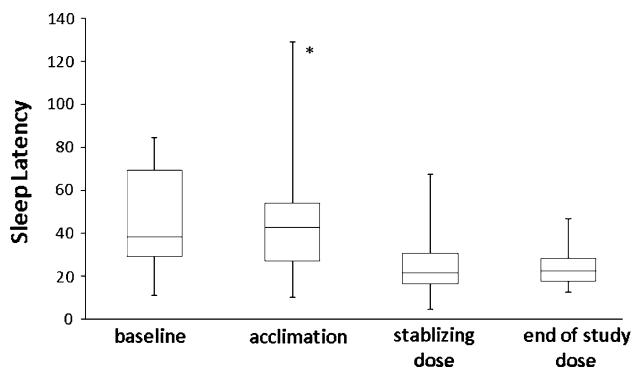
### Questionnaires (Table 3)

On the *Children's Sleep Habits Questionnaire (CSHQ)*, a parent-based measure of sleep difficulties, the sleep onset delay, sleep duration, and sleep total subscales improved significantly after treatment with melatonin. Of note, several subscales (e.g., parasomnia, sleep disordered breathing) that would not be expected to improve with melatonin treatment did not improve, suggesting that parents were not

**Table 3** Parental report parameters

	Study phase, mean (SD)		<i>p</i> -value*
	Baseline	End of study	
<i>Children's sleep habits questionnaire</i>			
Bedtime resistance	10.7 (4.2)	8.3 (2.3)	0.008
Sleep onset delay	2.6 (0.6)	1.3 (0.6)	<b>&lt;0.0001</b>
Sleep duration	6.4 (1.8)	3.7 (1.3)	<b>&lt;0.0001</b>
Sleep anxiety	6.8 (1.9)	6.3 (1.7)	0.270
Night wakings	5.3 (1.9)	4.3 (1.4)	0.023
Parasomnias	9.7 (2.0)	9.2 (2.1)	0.780
Sleep disordered breathing	3.8 (1.2)	3.5 (0.6)	0.170
Daytime sleepiness	14.1 (2.4)	12.6 (2.7)	0.129
Sleep total	55.2 (6.9)	45.1 (4.7)	<b>&lt;0.0001</b>
<i>Child behavior checklist</i>			
Anxious/depressed	59.1 (9.3)	57.6 (7.8)	0.129
Withdrawn	71.5 (9.3)	66.0 (7.8)	<b>&lt;0.0001</b>
Attention problems	65.6 (8.3)	63.0 (9.3)	0.069
Aggressive behavior	62.3 (11.5)	60.0 (9.4)	0.073
Affective problems	69.2 (7.1)	60.8 (6.5)	<b>&lt;0.0001</b>
DSM attention/deficit hyperactivity	63.6 (8.2)	60.4 (8.2)	<b>0.006</b>
DSM oppositional behavior	62 (9.6)	59 (8.3)	0.026
<i>Repetitive behavior scale</i>			
Stereotyped	6.6 (3.5)	5.2 (3.1)	<b>0.008</b>
Self-injurious	2.6 (2.9)	2.1 (2.03)	0.325
Compulsive	7.1 (5.2)	4.5 (3.7)	<b>&lt;0.0001</b>
Ritualistic	7.5 (4.5)	5.5 (3.7)	0.013
Sameness	10.0 (6)	7.6 (6.4)	0.017
Restricted	5.3 (3.4)	4 (2.6)	0.130
<i>Parenting stress index</i>			
Parental distress	32.4 (11.1)	30.3 (8.2)	0.204
Parent–child dysfunctional interaction	29.1 (9.0)	25.9 (8.4)	0.098
Difficult child	41.3 (7.2)	36.1 (7.0)	<b>0.003</b>

On these scales, higher values indicate more difficulties  
\* Comparing baseline phase to end of study phase. Significance was adjusted for multiple comparisons (0.05/number of comparisons within a family of tests) with values meeting significance bolded



**Fig. 2** Change in sleep latency with melatonin treatment. Median sleep latency (y-axis) in minutes was measured by actigraphy at four different time points: **a** baseline phase; **b** acclimation phase (weeks 1–2) when child received inert liquid; **c** stabilizing dose phase, the first three-week period when child’s sleep latency was less than 30 min for 5 or more nights in at least one of the weeks; and **d** end of study dose phase, the last 2 weeks of treatment. The lines in the box plot correspond to maximum, 3rd quartile (75th percentile), median, 1st quartile (25th percentile) and minimum. The asterisk (\*) indicates that the median sleep latency in the acclimation phase was significantly different than in the stabilizing dose or end of study dose phase ( $p < 0.0001$ )

answering indiscriminately that sleep difficulties has improved (Fig. 2).

On the *Child Behavior Checklist (CBCL)*, the withdrawn, affective, and ADHD subscales improved significantly after treatment with melatonin.

On the *Repetitive Behavior Scale (RBS)*, the stereotyped and compulsive subscales improved significantly after treatment with melatonin.

On the *Parenting Stress Index (PSI)*, the difficult child subscale improved significantly after treatment with melatonin.

#### Laboratory Findings

No alterations in laboratory findings (CBC, metabolic profile including liver and renal function, ACTH, cortisol, estrogen, testosterone, FSH, LH, or prolactin) were noted with treatment.

#### Adverse Effects and Tolerability

Only one child exhibited possible mild adverse effects related to the melatonin preparation (loose stools). All other children tolerated melatonin without difficulty.

#### Discussion

In this open-label dose-escalation study of supplemental melatonin, we found that (1) The majority of children

responded to a 1 or 3 mg dose given 30 min before bedtime with an improvement in sleep latency; (2) This improvement was seen within the first week of dosing at the effective dose; (3) The medication was tolerated well with minimal adverse effects and no changes in laboratory values; (4) Actigraphy data was collectable over 17 weeks; and (5) Actigraphy, as well as parent-completed surveys focusing on sleep and behavior, showed change with the intervention.

Our findings are unique in that our study design allowed us to identify the doses at which children responded to melatonin (defined as sleep latency of 30 min or less on five or more nights in the week) and to define the time course of responsiveness (e.g., how many weeks were needed to observe a response). These results are not only helpful in the clinical care of children with ASD but also in planning for future randomized clinical trials. Safety and tolerability were also addressed in a comprehensive fashion, with reference to a side effects scale and laboratory testing. In agreement with a retrospective review of 107 children with ASD (Andersen et al. 2008), side effects were minimal.

We also documented that actigraphy can be used successfully in a 17-week trial in ASD. To our knowledge, no prior studies of melatonin in ASD have used actigraphy in a trial lasting several months. Actigraphy provided an important outcome measure that was objective and complemented that of parent report. Its use of 17 weeks allowed us to identify a satisfactory dose and document that effects were maintained over several months. The use of an alternative placement allowed us to optimize data collection and include children who did not tolerate standard wrist actigraphy.

In agreement with prior studies, we documented an improvement in sleep latency with melatonin treatment. Because our study criteria were designed to enroll children with sleep-onset delay, we cannot definitively comment on the effects of melatonin on sleep duration or night wakings. A meta-analysis of randomized double-blind placebo-controlled studies in ASD that reported quantitative data (5 studies, 57 participants total), comparing melatonin treatment with baseline (pre-melatonin treatment) and with placebo, showed improved sleep latency and improved sleep duration but not night wakings (Rossignol and Frye 2011). Our findings were consistent with prior reports in that CSHQ sleep duration improved significantly with melatonin treatment, but night wakings did not. Neither sleep duration or night wakings, as measured by actigraphy, showed significant improvement with melatonin treatment. Large randomized controlled trials using objective measures of sleep will be needed to definitely establish the impact of melatonin on sleep duration and night wakings. The design of such trials may take several

forms, depending on the questions of interest. Given our findings showing that a satisfactory response in sleep latency occurred within one week of dosing, a randomized trial of melatonin (parallel or crossover design) with a treatment phase as short as one week is reasonable to document improvements in sleep-onset insomnia. Alternatively, if more longer term outcome measures besides sleep latency were of interest, such as parenting stress, a longer treatment phase (e.g., one month or longer) may be appropriate.

The behavioral outcome measures that showed change with melatonin (e.g., attention-deficit hyperactivity, withdrawn, affective problems, stereotyped behaviors, compulsive behaviors) resemble that of prior work. The literature emphasizes that the behavioral construct of hyperactivity is affected by sleep disturbance—this had been documented in ASD populations (Goldman et al. 2009; Mayes and Calhoun 2009) as well as typically developing children treated for obstructive sleep apnea (Chervin et al. 2006). Other behavioral parameters which have been associated with poor sleep in children with ASD include repetitive behavior, including compulsive behavior, and oppositional and aggressive behavior, anxiety, depression, and mood variability (Malow et al. 2006; Goldman et al. 2009; Mayes and Calhoun 2009). In an intervention study of parent education, hyperactivity and restricted behaviors showed improvements with treatment (Reed et al. 2009).

Parenting stress, as measured by the Difficult Child Subscale, improved with treatment. We did not find improvement in the PSI parent-related domains (Parental Distress or Parent–Child Dysfunctional Interaction) suggesting that parental stress in autism is multifactorial and may not be addressed with a single intervention.

Although melatonin is safe and well tolerated, we believe that it should be administered under the treatment of a physician. This is because of the importance of assessing children with ASD and insomnia for medical, neurological, and psychiatric comorbidities, which may cause or contribute to insomnia. This point is illustrated by the one non-responder in our study, a child subsequently diagnosed with bipolar disorder.

Strengths of our design include: (1) Participants limited to those meeting clinical and research criteria for ASD; (2) Relatively large sample size compared to prior studies; (3) Standardized parent sleep education protocol administered prior to the treatment with melatonin; (4) Use of objective primary outcome measures (actigraphy); (5) Screening for medical comorbidities which can contribute to insomnia; (6) Assessment of effect of improved sleep on behavioral outcomes (e.g., ameliorating core and associated features of autism and family functioning); and (7). Of patients whose cognitive skills were evaluated, all had an IQ of 70

or higher on the verbal or non-verbal scales, or both. Thus, our population had ASD with normal intelligence, eliminating any concerns about the impact of intellectual disability. The lack of significant findings on some of the behavioral subscales may reflect our small sample size. Another limitation is that we did not include a placebo group; large randomized multicenter trials will need to include a placebo group to establish efficacy. While our children were free of psychotropic medications, which can be viewed as a relative strength, our results are less generalizable to the autism population with sleep-onset delay, in which some children are taking medications (e.g., antidepressants and stimulants) which interfere with sleep or with hepatic enzymes (CYPIA2) that metabolize melatonin. Finally, we cannot comment on the dosing, safety, and tolerability of melatonin in children older than age 10 or who have entered puberty.

In summary, our findings provide unique information on dosing, tolerance/safety, and outcome measures for the use of melatonin in pre-pubertal children with ASD. They add to the growing literature documenting that melatonin shows promise for treating sleep-onset insomnia in ASD, and address key issues needed to design a large controlled trial of melatonin in this population.

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